



U.S. Nuclear Regulatory Commission
Regulatory Information Conference

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Inspector General Act

- IG Act passed in 1978 (initially 12 IGs)
- Currently 73 Offices of Inspector General
- NRC OIG formed in 1989
 - Became IG for DNFSB in 2014
- IG Responsibilities
 - Conduct and supervise audits and investigations
 - Promote economy and efficiency
 - Prevent and detect fraud, waste, abuse
 - Keep Congress and agency head fully informed

Overview of NRC OIG Audit

- Work required by law
 - Financial statement audit (CFO Act)
 - Federal Information Security Modernization Act (FISMA)
 - Digital Accountability and Transparency Act (DATA Act)
 - Improper Payment Elimination and Recovery Act (IPERA)
- Internal agency operations
- Regulatory oversight of nuclear reactors and materials
 - Safety, security, controls, and efficiencies
- Congressional requests
- NRC requests
- Referrals from investigations



Audits and Evaluations

- NRC OIG issues 30 reports annually with 36 staff
 - Auditors, analysts, attorneys, IT experts, engineers
- Full-scope audits 5-7 mos
- Quick response evaluations 3-4 mos
- Right scope and no scope creep
- Communication with the agency is important
- All recommendations tracked through final action

Management Challenges

External Regulatory Oversight

- Regulation of nuclear reactor safety and security programs
- Regulation of nuclear materials and radioactive waste safety and security programs

Internal Operations

- Management of information and information technology
- Management of financial programs
- Management of corporate functions.

Recent Audit Work

- Nuclear Power Reactor Safety and Security
 - Standard Technical Specifications
 - NRC's Headquarters Operations Center Staffing
 - License Amendment Request Acceptance Process
- Nuclear Materials and Waste Safety and Security
 - National Materials Program
 - Force-on-Force Security Inspections of Fuel Cycle Facilities
 - NRC's Oversight for Issuing Certificates of Compliance for Radioactive Material Packages