



U.S. NUCLEAR REGULATORY COMMISSION  
OFFICE OF THE INSPECTOR GENERAL

# WORKING WITH NRC OIG AUDITS AND INVESTIGATIONS





# Investigations Priorities & Sources

## Investigations Priorities

- IG, Commission, Congressional Requests
- Allegation of Misconduct
- Public Health and Safety
- Security
- Corporate Management

## Collaborative Sources

- NRC Employees
- NRC Licensees
- The Public
- Other Law Enforcement Agencies
- Proactive Initiatives





## OIG Investigations

- All matters relating to misconduct, fraud, waste, and abuse by NRC employees and contractors, or related to NRC programs and operations.
- Do not investigate employee performance or merits of a technical opinion.





## Working In Collaboration With NRC Licensees

### Joint Effort with NRC Licensees

- OIG conducted an investigation into an allegation by an NRC licensee that licensee employees provided false information to NRC during an investigation into discrimination against employees for raising safety concerns.
- OIG conducted an investigation into an allegation reported by a State Attorney General's Office regarding a company misrepresenting its qualifications to agencies. On the company's Web site, the owner claimed that NRC accepted his product and is being used at nuclear power plants.





## Working In Collaboration With Law Enforcement

### Joint Effort with FBI and Department of Energy

A former NRC employee attempted to sell 5,000 NRC employee email addresses for \$23,000 to someone he believed was a foreign government official. He also discussed having 30,000 DOE email accounts. He sought to have the foreign entity use the emails to launch a spear phishing attack against the U.S. Government so that foreign nations would gain access to sensitive information or damage essential systems. In April 2016, the former NRC employee was sentenced to 18 months in prison.



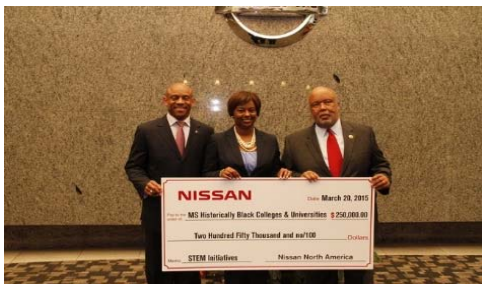


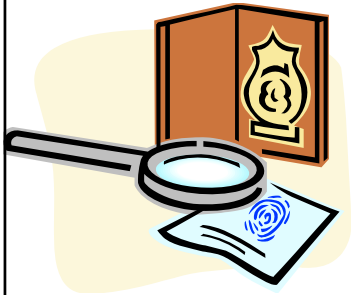
# Working In Collaboration With The Public

## Joint Effort with Public Citizens

- OIG conducted an investigation that someone was impersonating an NRC official to obtain citizens' personal data and money in exchange for alleged grants from the NRC.
- OIG conducted an investigation that someone was impersonating a Government employee and requesting quotes from different companies for multiple IT equipment. These quotes were for over \$115,000.

ITEM	DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	TOTAL PRICE
1	IBM BladeCenter 3500-200 - 10.0 - 10.0 - 10.0 - 10.0 IBM BladeCenter 3500-200 - 10.0 - 10.0 - 10.0 - 10.0	EA	10	\$1,200.00	\$12,000.00
2	Lenovo ThinkPad T4200 - 10.0 - 10.0 - 10.0 - 10.0 Lenovo ThinkPad T4200 - 10.0 - 10.0 - 10.0 - 10.0	EA	10	\$2,000.00	\$20,000.00
3	Lenovo ThinkPad T4200 - 10.0 - 10.0 - 10.0 - 10.0 Lenovo ThinkPad T4200 - 10.0 - 10.0 - 10.0 - 10.0	EA	10	\$1,000.00	\$10,000.00





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**Contact:**    **OIG Hotline**  
1-800-233-3497    8:00 a.m. to 4:00 p.m.  
Monday – Friday  
After hours, please call OIG duty agent at 301-415-0512

**Submit:**    On-line form, access by logging onto:  
www.nrc.gov  
Click on Inspector General  
Click on OIG Hotline Phone Symbol