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U.S. DEPARTMENT OF ENERGY OFFICE OF INSPECTOR GENERAL

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Department of Energy Overview

2

□ Mission

To ensure America's security and prosperity by addressing its energy, environmental and nuclear challenges through transformative science and technology solutions.



□ FY2019 Appropriation - \$35 Billion

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3

□ Mission

To strengthen the integrity, economy and efficiency of the Department's programs and operations including deterring and detecting fraud, waste, abuse, and mismanagement.

- Headquartered in Washington D.C.
- 14 Field Offices Nationwide
- Auditors, Inspectors, and Investigators
- Hotline



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Management Challenges

4

- Contract Oversight
- Cybersecurity
- Environmental Cleanup
- Nuclear Waste Disposal
- Safeguards & Security
- Stockpile Stewardship
- Infrastructure Modernization

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“Watch List” Items

5

- Employee Concerns Program
- Power Marketing Administrations
- Human Capital Management
- Loan Guarantee Program
- Worker & Community Safety

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Significant Outcomes

6

□ Audits & Inspections

□ Better Use of Funds

- \$97,115,486

□ Questioned Costs unresolved as of FY18

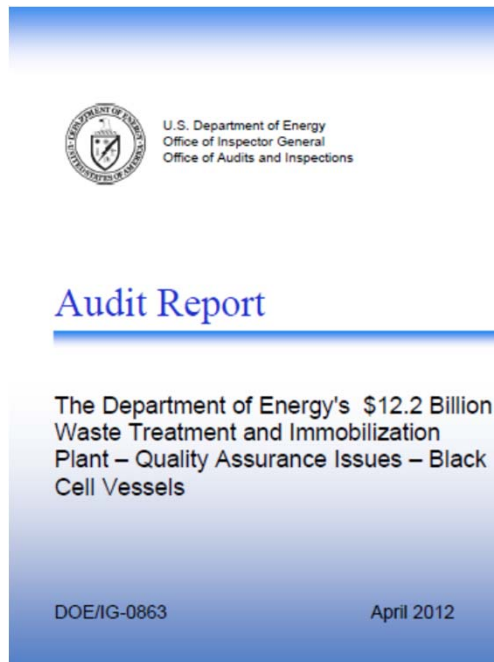
- \$699,284,285

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Audit & Inspection Outcomes



7



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Office of Investigations

8

- The Office of Investigations is the Department's independent law enforcement arm
 - We investigate:
 - Administrative Issues
 - Criminal violations (e.g., Grant and Contract Fraud)
 - Whistleblower reprisal allegations
 - We also intake complaints from:
 - Federal employees
 - Contractors
 - Taxpayers
 - Anyone with knowledge of criminal activity

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Investigations Focus Areas

9

- Fraud Focus Areas:
 - Contacts and Grants
 - Charging for unallowable or unauthorized expenses
 - Misrepresenting work performed/progress
 - Embezzlement/redirecting of funds
 - Falsification of data/certifications
 - Qui Tams/False Claims Act
 - Environment, Safety, and Health
 - Suspect/Counterfeit Items
 - Environmental violations
 - Integrity of Government Officials
 - Bribery/kickbacks
 - Conflict of interest
 - Employee misconduct

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OIG Hotline

10

- DOE OIG Hotline is the location to report issues about:
 - Issues impacting the efficiency and effectiveness of Department programs
 - Fraud, waste, and abuse
 - Tips or information related to Criminal Wrongdoing
 - Retaliation

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Hotline Reports

11

- Tips to make sure we can act on complaints:
 - Be very specific in details of
 - Violation of law or program deficiency
 - Who is involved
 - When it occurred
 - Where it occurred
 - What evidence is available to help substantiate
 - How we can reach you if we have more questions

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Hotline: Ways to Report

12

- Anonymously: provides the best identity protection, but without detailed information and ability to recontact, may be of limited value;
- Confidentially: OIG has a responsibility to protect your identity in most all situations. Applies to the individual's identity, not info provided. Allows for follow up to make sure something wasn't missed in original report;
- In very small work centers, it may be possible to determine who reported based on who had access to the information regardless of anonymity or confidentiality

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Whistleblower Retaliation



13

- DOE is approximately 90% contractor based workforce
- 41 USC 4712, Enhancement of contractor protection from reprisal for disclosure of certain information
 - ▣ Provides venue for contractors and grantees and their subs to report reprisals for protected disclosures involving evidence of gross mismanagement of a Federal contract or grant, gross waste of Federal funds, abuse of authority, danger to health and safety, or violation of a law, rule or regulation.
 - ▣ Protected disclosure must have been made to an authorized party (Section (a)(1)(2)) before the reprisal

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Contact Us

14

- ▣ Office of Inspector General
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- ▣ 202-586-1818
- ▣ <https://www.energy.gov/ig/office-inspector-general>
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15

Questions?

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